

Education Required	Bachelors Degree
Essential Functions	Compliance Director - Americas

The Global Compliance Group (GCG) is responsible for compliance matters and for interacting with regulators regarding compliance matters globally. Following the increased regulation of rating agencies, the GCG is being expanded to include a team member covering the U.S. and Latin America, who will be based with GCG staff in New York. This role will involve ensuring compliance with regulations by working with company's analytical and functional groups to ensure that company has business practices, policies, and procedures to comply with all relevant regulations; identifying and reviewing potential compliance risks; conducting Compliance training; and reviewing new regulations applicable to credit rating agencies. In particular, this role requires close cooperation with members of the Legal Group, the Operations Risk Management Group and Latin American office heads.

Reporting to Regional Compliance Officer in GCG based in New York, key aspects of this role include the following.

- Assisting in the development of policies and procedures.
- Conducting Compliance training for U.S. and Latin American staff.
- Maintaining a database to capture evolving regulations in the U.S. and across Latin America.
- Supporting internal reviews related to policy compliance for the Americas.
- Analyzing internal control reporting.
- Providing regulatory compliance support to Latin American offices, including participating in interactions with regulators in the region, assisting with responses to regulatory requests for information, and supporting production of any regulatory filings needed to comply with any new or amended credit rating agency regulations.

To accomplish these responsibilities, the candidate will need to learn about and understand all aspects of business, and will interact with personnel at all levels. The role therefore provides an excellent opportunity for a Director to broaden and deepen their awareness of the company. The candidate must have: strong verbal and written communication skills, a high level of accuracy and attention to detail, independent initiative and critical thinking, and strong organizational skills. Prior rating agency experience or compliance or regulatory experience is strongly preferred; 5-10 years of related experience required. Fluency in Spanish is required and fluency in Portuguese is a plus. Some travel is required up to 20% of time.

Located in NYC 120-140k

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